



## Antenna characteristics: definitions

Antennas

## EPFL Wave equation for potentials

Vector and scalar potentials

$$\mu \mathbf{H}(\mathbf{r}) = \nabla \times \mathbf{A}(\mathbf{r}) \quad ; \quad \mathbf{E}(\mathbf{r}) = -j\omega \mathbf{A}(\mathbf{r}) - \nabla V$$

Linked by Lorentz' gage (other gages are possible, but this one is very convenient)

$$\nabla \cdot \mathbf{A}(\mathbf{r}) + j\omega \mu \epsilon V = 0$$

Thus

$$\mathbf{E}(\mathbf{r}) = -j\omega \mathbf{A}(\mathbf{r}) + \frac{\nabla(\nabla \cdot \mathbf{A}(\mathbf{r}))}{j\omega \epsilon \mu}$$

## EPFL Wave equation for potentials

$$\nabla \times \mathbf{H} = \mathbf{J} + j\omega\epsilon\mathbf{E}$$

$$\nabla \times \frac{1}{\mu} \nabla \times \mathbf{A} = \mathbf{J} + j\omega\epsilon\mathbf{E}$$

$$\nabla \times \nabla \times \mathbf{A} = \mu\mathbf{J} + j\omega\epsilon\mu\mathbf{E}$$

$$\nabla(\nabla \cdot \mathbf{A}) - \nabla^2 \mathbf{A} = \mu\mathbf{J} + j\omega\epsilon\mu \left( -j\omega\mathbf{A} + \frac{\nabla(\nabla \cdot \mathbf{A})}{j\omega\epsilon\mu} \right)$$

$$\nabla^2 \mathbf{A} + \omega^2 \epsilon\mu \mathbf{A} = -\mu\mathbf{J}$$

$$(\nabla^2 + k^2)\mathbf{A} = -\mu\mathbf{J}$$

We obtain in a similar way

$$(\nabla^2 + k^2)V = -\frac{\rho}{\epsilon}$$

## EPFL Wave equation for potentials

Vector potential is linked to currents, scalar potential

Propagation velocity is given by  $c = \frac{\omega}{k} = \frac{1}{\sqrt{\epsilon\mu}}$

In free space:  $c = c_0 = 299800 \text{ km/s} \approx 3 \cdot 10^8 \text{ m/s}$

And the wavelength is given by  $\lambda = \frac{2\pi}{k} = \frac{c}{f}$

## Solution for elementary sources

Let us consider an elementary source (Hertzian dipole)  
Aligned along the z axis

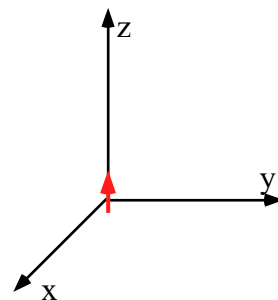
The corresponding vector potential is  
obtained solving

$$(\nabla^2 + k^2)A = -\mu\delta(\mathbf{r})\hat{\mathbf{z}}$$

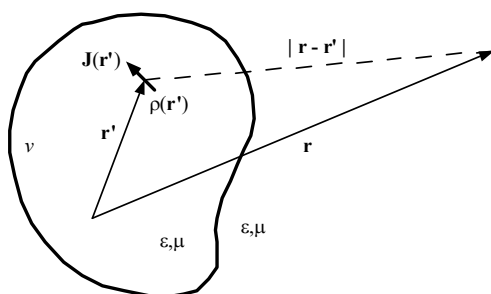
We get 
$$A(\mathbf{r}) = \hat{\mathbf{z}} \frac{\mu}{4\pi} \frac{e^{-jk r}}{r}$$

In the same way, we obtain for the scalar potential of  
A charge located at the origin

$$V(\mathbf{r}) = \frac{1}{4\pi\epsilon} \frac{e^{-jk r}}{r}$$



## Solutions for any sources



Consider a volume  $v$  containing a  
source distribution  $\mathbf{J}(\mathbf{r})$  and a  
charge distribution  $\rho(\mathbf{r})$ . To  
compute the potentials due to  
these sources, we perform  
integrate the solution for the  
Hertzian dipole over the volume  $v$   
in spherical coordinates.

$$A(\mathbf{r}) = \frac{\mu}{4\pi} \int_v dv' \mathbf{J}(\mathbf{r}') \frac{e^{-jk|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|}$$

$$V(\mathbf{r}) = \frac{1}{4\epsilon} \int_v dv' \rho(\mathbf{r}') \frac{e^{-jk|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|}$$

## EPFL Findings

- The Solutions for the potentials are a superposition of spherical waves
- The wave number and wavelength are given by

$$k = \omega\sqrt{\epsilon\mu} ; \lambda = \frac{2\pi}{k}$$

- The results are valid for any distance  $r$
- The fields are obtained using

$$\mu\mathbf{H}(\mathbf{r}) = \nabla \times \mathbf{A}(\mathbf{r}) \quad ; \quad \mathbf{E}(\mathbf{r}) = -j\omega\mathbf{A}(\mathbf{r}) - \nabla V$$

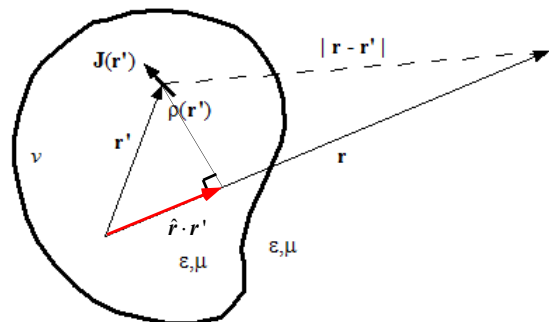
## EPFL Special case: antennas

In the case of antenna radiation, we are interested in what happens far from the antenna, in the so called *far field* region. Thus, when  $r$  is much larger than the wavelength and the dimensions of the antenna, we can replace  $|\mathbf{r}-\mathbf{r}'|$  by a series expansion. For the amplitude it is enough to consider

$$|\mathbf{r}-\mathbf{r}'| \approx r$$

For the phase, we need more precision:

$$\begin{aligned} |\mathbf{r}-\mathbf{r}'| &\approx r - \hat{\mathbf{r}} \cdot \mathbf{r}' \\ &= r - x' \sin \theta \cos \varphi - y' \sin \theta \sin \varphi - z' \cos \theta \end{aligned}$$



## EPFL Special case: antennas

We obtain finally:

$$A(\mathbf{r}) = \frac{\mu}{4\pi} \int_v d\nu' \mathbf{J}(\mathbf{r}') \frac{e^{jk|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|} = \frac{\mu}{4\pi} \int_v d\nu' \mathbf{J}(\mathbf{r}') \frac{e^{-jk r} e^{jk\hat{\mathbf{r}} \cdot \mathbf{r}'}}{r} = \frac{\mu}{4\pi} \frac{e^{-jk r}}{r} \mathbf{f}(\theta, \varphi)$$
$$\mathbf{f}(\theta, \varphi) = \int_v d\nu' \mathbf{J}(\mathbf{r}') e^{jk\hat{\mathbf{r}} \cdot \mathbf{r}'}$$

Where  $\mathbf{f}$  is called the vector integral, and plays an important role in antenna theory.

## EPFL Radiated fields

Considering the phase and amplitude approximations for  $|\mathbf{r}-\mathbf{r}'|$ , we get:

$$\mathbf{H} = \frac{j\omega}{Z_c} \mathbf{A} \times \hat{\mathbf{r}}$$
$$\mathbf{E} = j\omega [ \mathbf{A} - \hat{\mathbf{r}} (\hat{\mathbf{r}} \cdot \mathbf{A}) ]$$
$$\mathbf{E} = j\omega \hat{\mathbf{r}} \times (\hat{\mathbf{r}} \times \mathbf{A})$$

Moreover, it can easily be shown that

$$\mathbf{H} = \frac{1}{Z_c} \hat{\mathbf{r}} \times \mathbf{E}$$
$$\mathbf{E} = Z_c \hat{\mathbf{r}} \times \mathbf{H}$$

## EPFL Radiated fields

In spherical coordinated, this becomes

$$E_{\theta} = -j\omega A_{\theta} ; E_{\varphi} = -j\omega A_{\varphi} ; E_r = 0$$

$$H_{\theta} = \frac{E_{\varphi}}{Z_c} ; H_{\varphi} = \frac{E_{\theta}}{Z_c} ; H_r = 0$$

Or in terms of the vector integral  $\mathbf{f}$

$$E_{\theta}(r, \theta, \varphi) = -\frac{jZ_c}{2\lambda} \frac{e^{jkr}}{r} \hat{\theta} \cdot \mathbf{f}(\theta, \varphi)$$

$$E_{\varphi}(r, \theta, \varphi) = -\frac{jZ_c}{2\lambda} \frac{e^{jkr}}{r} \hat{\varphi} \cdot \mathbf{f}(\theta, \varphi)$$

The Poynting vector is given by

$$\mathbf{S} = \frac{1}{2} \mathbf{E} \times \mathbf{H}^* = \frac{1}{2Z_c} |\mathbf{E}|^2 \hat{\mathbf{r}}$$

## EPFL The radiated power density

From the purely radial Poynting vector, we obtain the outward oriented power density:

$$p(r, \theta, \varphi) = \mathbf{S} \cdot \mathbf{e}_r = \frac{1}{2Z_c} |\mathbf{E}|^2 = \frac{1}{2Z_c} (|E_{\theta}|^2 + |E_{\varphi}|^2)$$

Which can also be expressed in terms of the vector integral

$$p(r, \theta, \varphi) = \frac{Z_c}{8\lambda^2} \frac{1}{r^2} (|f_{\theta}|^2 + |f_{\varphi}|^2) \quad [W / m^2]$$

The radiation intensity, independent of  $r$ , is given by

$$U(\theta, \varphi) = r^2 p(r, \theta, \varphi) = \frac{Z_c}{8\lambda^2} (|f_{\theta}|^2 + |f_{\varphi}|^2) \quad [W / steradian]$$

This intensity corresponds to a power per solid angle

## EPFL Summary

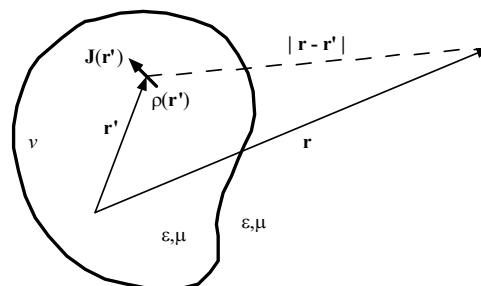
In the far field, we have :

$$E_{\theta}(r, \theta, \varphi) = -\frac{jZ_c}{2\lambda} \frac{e^{jkr}}{r} \hat{\theta} \cdot \mathbf{f}(\theta, \varphi) ; E_{\varphi}(r, \theta, \varphi) = -\frac{jZ_c}{2\lambda} \frac{e^{jkr}}{r} \hat{\varphi} \cdot \mathbf{f}(\theta, \varphi)$$

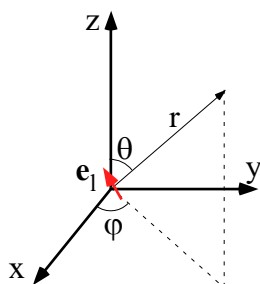
$$H_{\theta} = -E_{\varphi} / Z_c ; H_{\varphi} = E_{\theta} / Z_c ; E_r = H_r = 0$$

with

$$\mathbf{f}(\theta, \varphi) = \int_V dV' \mathbf{J}(\mathbf{r}') e^{jk\hat{\mathbf{r}} \cdot \mathbf{r}'}$$



## EPFL Example: The Hertzian dipole



The Hertzian dipole is a short filament of current of length  $\Delta l$  Supporting a current  $I$

$$I(t) = \sqrt{2}I \cos(\omega t)$$

$$\text{Limit: } \Delta l = dl$$

In practice, this means that  $\Delta l$  is much shorter than the wavelength and that  $I$  is invariant on the filament

## EPFL Example: The Hertzian dipole

In the case of a Hertzian dipole oriented along a random orientation  $\hat{i}$ , and placed at the origin, the current density is given by

$$\mathbf{J} = \frac{\hat{\mathbf{i}}}{\Delta s}$$

And the elementary volume by  $dv' = \Delta s dl'$

The vector integral is given by  $\mathbf{f}(\theta, \varphi) = \hat{\mathbf{i}} \int_0^{\Delta l} dl' I = I \Delta l \hat{\mathbf{i}}$

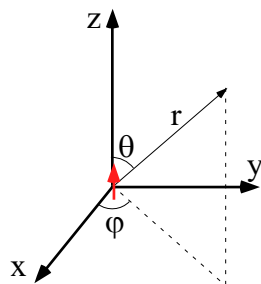
And the vector potential by  $\mathbf{A}(\mathbf{r}) = \frac{\mu I \Delta l}{4\pi r} e^{-jkr} \hat{\mathbf{i}}$

The electric and magnetic fields are given by

$$\mathbf{E}(r, \theta, \varphi) = \frac{jZ_c}{2\lambda} I \Delta l \frac{e^{-jkr}}{r} \hat{\mathbf{r}} \times (\hat{\mathbf{r}} \times \hat{\mathbf{i}})$$

$$\mathbf{H}(r, \theta, \varphi) = \frac{j}{2\lambda} I \Delta l \frac{e^{-jkr}}{r} (\hat{\mathbf{i}} \times \hat{\mathbf{r}})$$

## EPFL Example: A Hertzian dipole oriented along the z axis



$$E_\theta(r, \theta, \varphi) = \frac{jZ_c}{2\lambda} I \Delta l \frac{e^{-jkr}}{r} \sin \theta$$

$$E_\varphi(r, \theta, \varphi) = 0$$

$$H_\theta(r, \theta, \varphi) = 0$$

$$H_\varphi(r, \theta, \varphi) = \frac{j}{2\lambda} I \Delta l \frac{e^{-jkr}}{r} \sin \theta$$

$$U(\theta, \varphi) = \frac{Z_c}{8\lambda^2} (I \Delta l)^2 \sin^2 \theta$$

$$p(r, \theta, \varphi) = \frac{1}{r^2} \frac{Z_c}{8\lambda^2} (I \Delta l)^2 \sin^2 \theta$$

## Radiation pattern

## EPFL Field pattern

Graphic representation of  $f_\theta (E_\theta$  and  $H_\varphi)$  and  $f_\varphi (E_\varphi$  and  $H_\theta)$

There is one pattern for the amplitude and one for the phase

Normalized representation of the amplitude

$$D_{E_\theta}(\theta, \varphi) = |E_\theta(\theta, \varphi)| / |E_\theta(\theta_{max}, \varphi_{max})| = |f_\theta(\theta, \varphi)| / |f_\theta(\theta_{max}, \varphi_{max})|$$

$$D_{E_\varphi}(\theta, \varphi) = |E_\varphi(\theta, \varphi)| / |E_\varphi(\theta_{max}, \varphi_{max})| = |f_\varphi(\theta, \varphi)| / |f_\varphi(\theta_{max}, \varphi_{max})|$$

In dB :  $D_{E_{\varphi, \theta}} \text{ (dB)} = 20 \log_{10} |D_{E_{\varphi, \theta}}|$

Caution:  $\theta_{max}, \varphi_{max}$  can be different for the  $\theta$  and  $\varphi$  components !

## EPFL Normalization choice

- Each component individually with respects to its own max.
- The two componts with respect to the maximum maximorum

$$\max(|E_{\theta}|_{\max}, |E_{\varphi}|_{\max})$$

- The two componts with respect to the max. of the vector amplitude

$$\sqrt{\mathbf{E} \cdot \mathbf{E}^*}_{\max} = \max\left[\sqrt{E_{\theta}E_{\theta}^* + E_{\varphi}E_{\varphi}^*}\right]$$

## EPFL Power pattern

Graphic representation of  $p(r, \theta, \varphi)$  or  $U(\theta, \varphi) = r^2 p$

**In the far field, this is a real scalar**

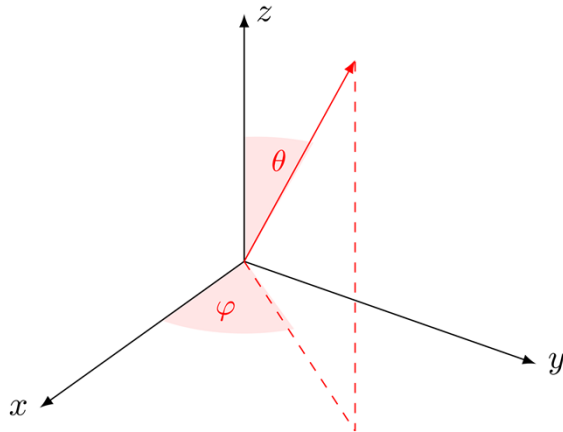
$$D_p(\theta, \varphi) = p(r, \theta, \varphi) / p(r, \theta_{\max}, \varphi_{\max}) = U(\theta, \varphi) / U(\theta_{\max}, \varphi_{\max})$$

$$D_p(\text{dB}) = 10 \log_{10} |D_p|$$

Note :  $p$  is proportional to  $(|E_{\theta}|^2 + |E_{\varphi}|^2)$ . Thus, if  $f_{\theta}$  or  $f_{\varphi} = 0$

$$D_p(\text{dB}) = D_{\theta, \varphi}(\text{dB})$$

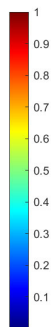
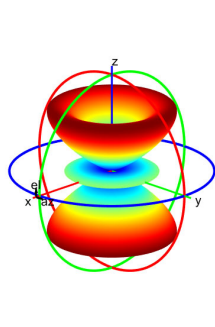
# EPFL Coordinate system



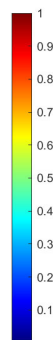
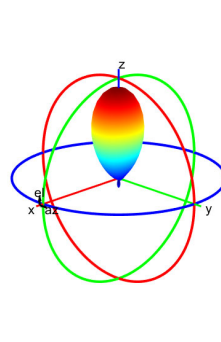
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# EPFL 3-D Polar (linear patterns)



$1.5\lambda$  dipole

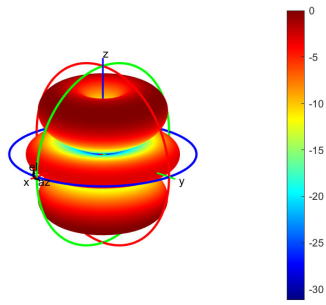


Horn antenna

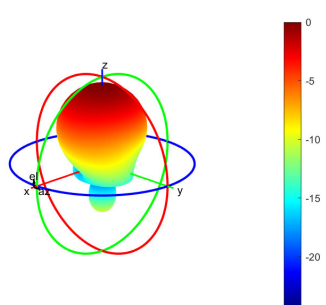
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**EPFL 3-D Polar (patterns in dB)**



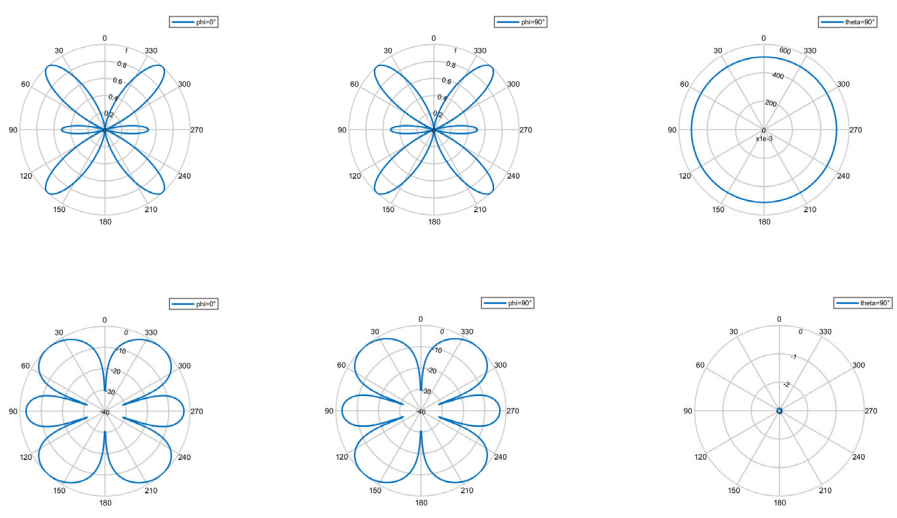
1.5λ dipole



Horn antenna

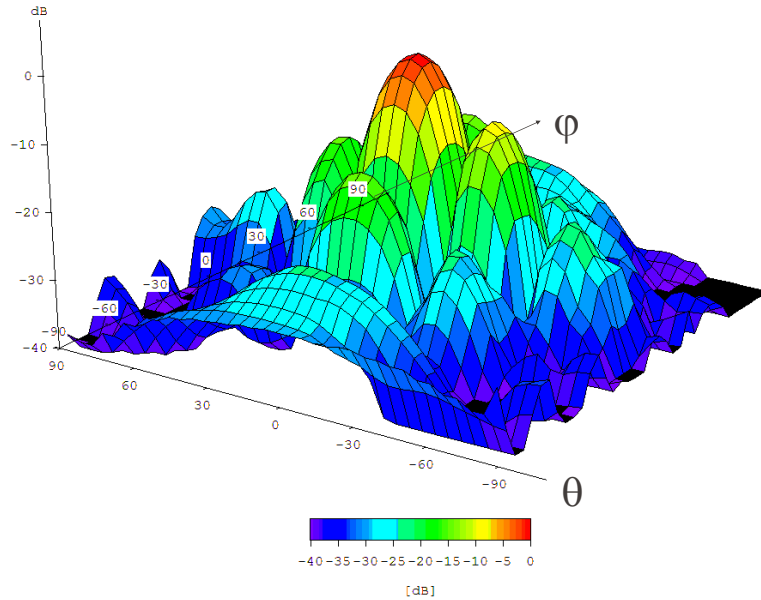
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**EPFL Polar patterns: cuts (1.5 λ dipole)**

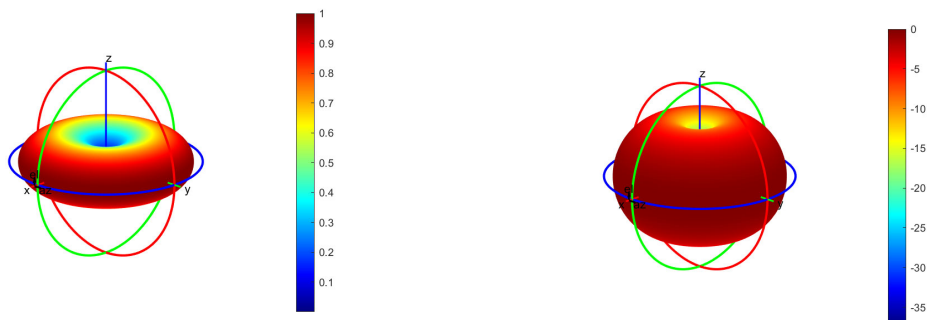


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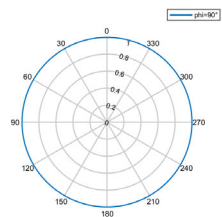
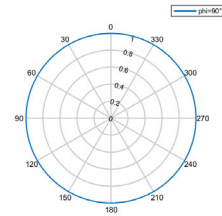
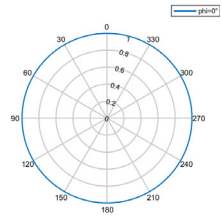
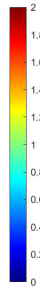
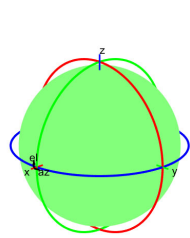
## EPFL 3-D cartesian (in DB)



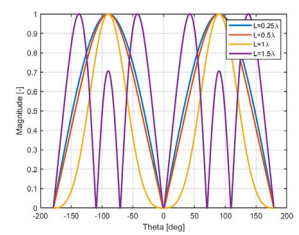
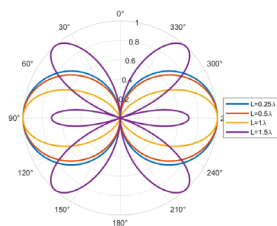
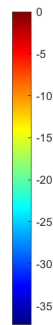
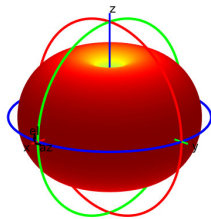
## EPFL Half wave dipole : 3D polar pattern



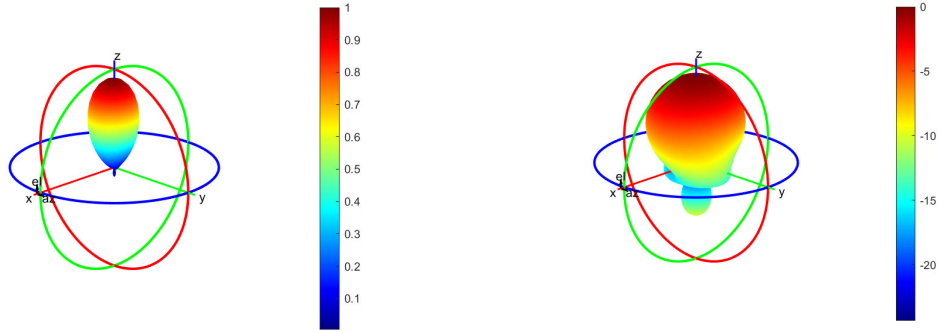
### Example : Isotropic antenna (does not exist, but is useful in theory)



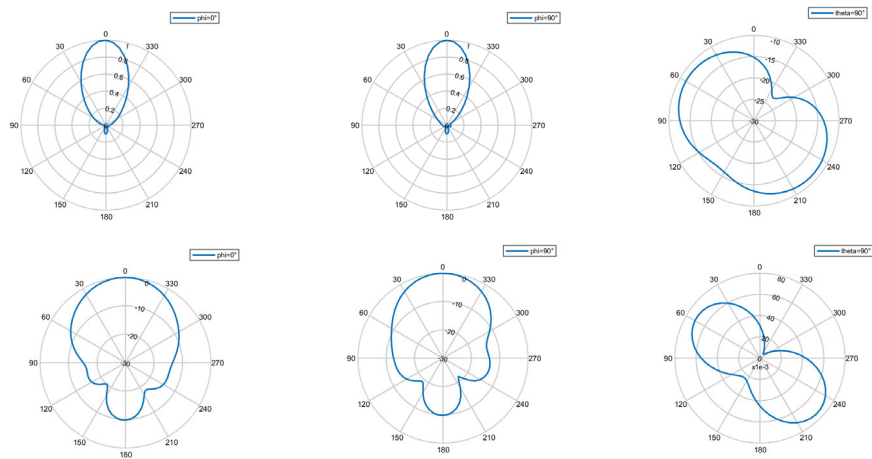
### Exemple : omnidirectional pattern: dipole



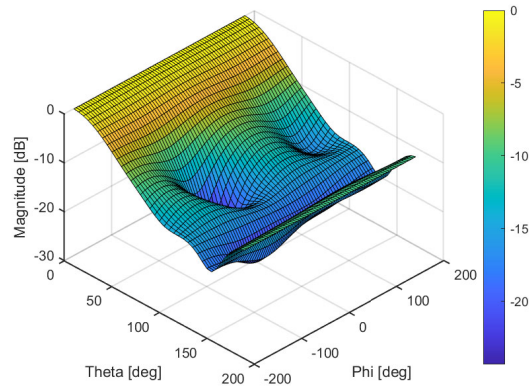
### Example : directive antenna (horn antenna)



### Example : directive antenna (horn antenna)



## EPFL Example : directive antenna (horn antenna)



## EPFL Definitions

$\theta_{BW}$  : Beamwidth

$\theta_{HPBW}$ : Half Power Beam width

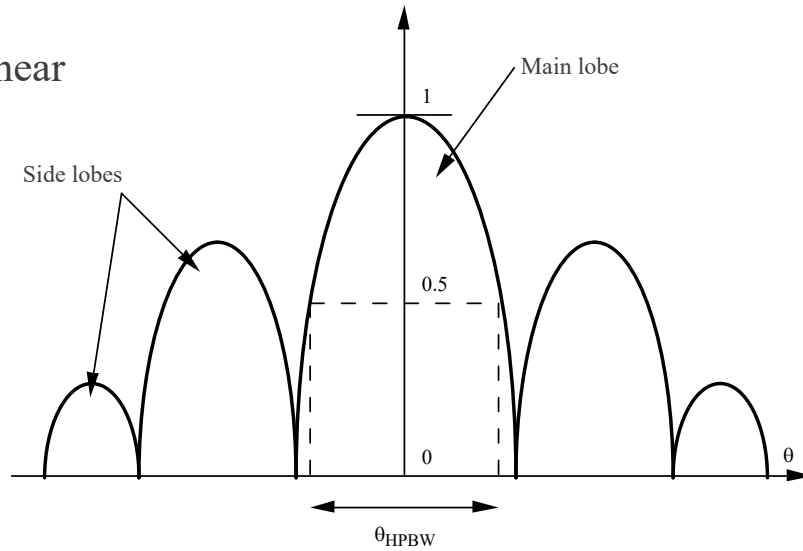
$SLL = 10 \log [P_{max} \text{ (main lobe)} / P_{max} \text{ (sidelobes)}]$

Usually,  $P_{max} \text{ (main lobe)} = 1$

Thus:  $SLL = -10 \log [P_{max} \text{ (side lobes)}]$

# EPFL Radiation pattern

Linear

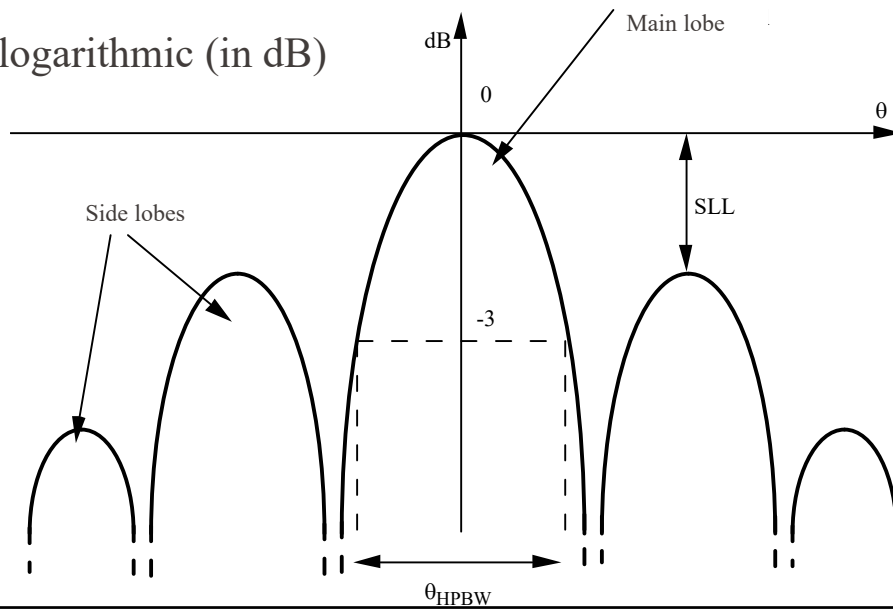


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# EPFL Radiation pattern

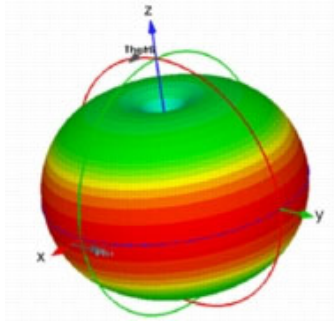
logarithmic (in dB)



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## EPFL Radiated power and directivity



Radiated power: integral of  $p(r, \theta, \varphi)$  on a spherical surface of radius  $r$  :  $ds = r^2 \sin \theta$

$$P_{rad} = \int_0^{2\pi} d\varphi \int_0^{\pi} d\theta r^2 \sin \theta p(r, \theta, \varphi) = \int_0^{2\pi} d\varphi \int_0^{\pi} d\theta \sin \theta U(\theta, \varphi)$$

$$\langle p \rangle = p_{iso} = \frac{P_{rad}}{4\pi r^2}$$

## EPFL Directivity

$$D(\theta, \varphi) = \frac{p(r, \theta, \varphi)}{p_{iso}} = \frac{4\pi r^2 p(r, \theta, \varphi)}{P_{rad}} = \frac{4\pi r^2 p(r, \theta, \varphi)}{\int_0^{2\pi} d\varphi \int_0^{\pi} d\theta r^2 \sin \theta p(r, \theta, \varphi)}$$

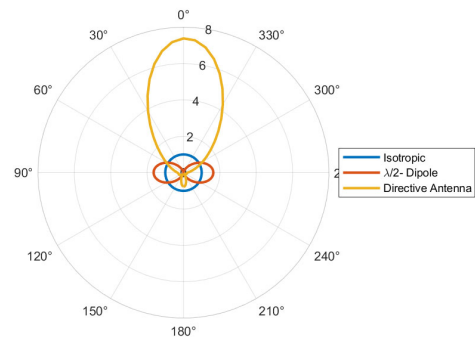
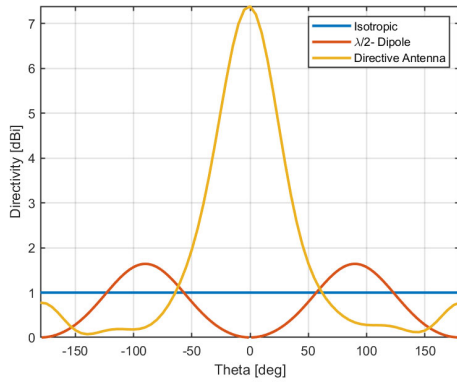
$$D(\theta, \varphi)_{dB} = 10 \log_{10} D(\theta, \varphi)$$

$$D_{max} = \max [D(\theta, \varphi)] = D(\theta_{max}, \varphi_{max}) \geq 1$$

$$D_{max dB} = 10 \log_{10} D_{max} \geq 0 dB$$

$$\langle D(\theta, \varphi) \rangle = \frac{1}{4\pi} \int_0^{2\pi} d\varphi \int_0^{\pi} d\theta \sin \theta D(\theta, \varphi) = 1$$

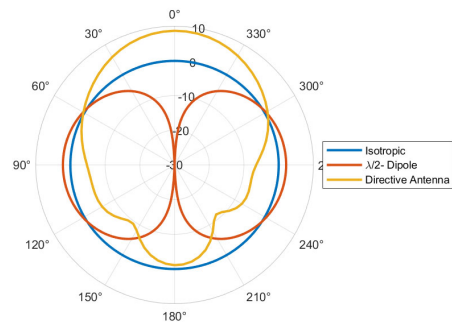
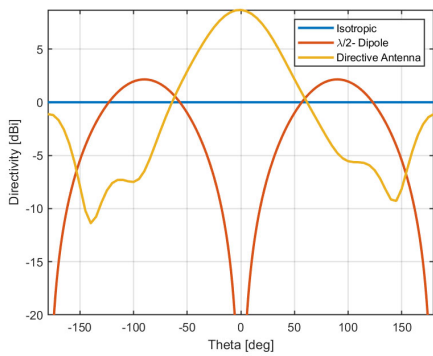
# EPFL Directivity (linear)



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# EPFL Directivity (dB)

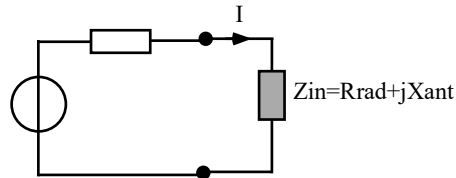


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## EPFL Radiation resistance

For a transmitting antenna, we can define the following equivalent circuit



Power delivered to the antenna:

$$P_f = \frac{I^2}{2} \operatorname{Re}[Z_{in}]$$

Thus  $R_{rad} = \operatorname{Re}[Z_{in}] = 2P_f / I^2$

## EPFL Radiation resistance

- An antenna is a passive, linear and dissipating component
- Its impedance is given by  $Z_{in}$
- The power delivered to the antenna is  $P_f = I^2/2 \operatorname{Re}(Z_{in})$ .
- In the absence of losses, we have,  $P_f = P_{rad}$
- The radiation resistance is defined as  $R_{rad} = \operatorname{Re}(Z_{in}) = 2P_{rad} / I^2$
- $X_{ant}$  is much harder to get (linked to reactive energy, usually obtained numerically)

## EPFL Example : Hertzian dipole

Reminder

$$E_\theta(r, \theta, \varphi) = \frac{jZ_c}{2\lambda} I\Delta l \frac{e^{-jkr}}{r} \sin \theta$$

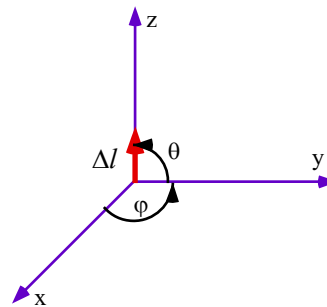
$$E_\varphi(r, \theta, \varphi) = 0$$

$$H_\theta(r, \theta, \varphi) = 0$$

$$H_\varphi(r, \theta, \varphi) = \frac{j}{2\lambda} I\Delta l \frac{e^{-jkr}}{r} \sin \theta$$

$$U(\theta, \varphi) = \frac{Z_c}{8\lambda^2} (I\Delta l)^2 \sin^2 \theta$$

$$p(r, \theta, \varphi) = \frac{1}{r^2} \frac{Z_c}{8\lambda^2} (I\Delta l)^2 \sin^2 \theta$$

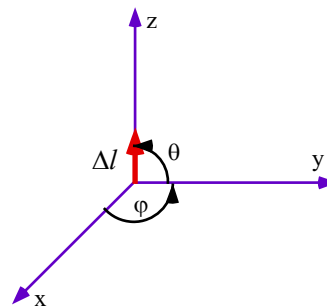
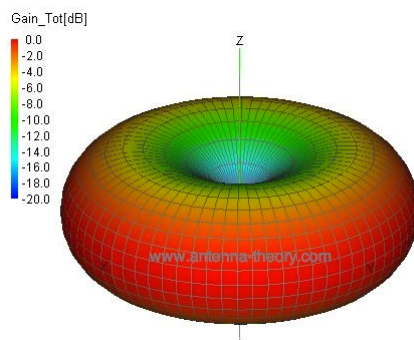


## EPFL Example : Hertzian dipole

Patterns independent of  $\varphi$

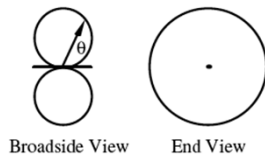
$$D_p(\theta) = \sin^2 \theta$$

$$D_p(\theta)_{dB} = 10 \log_{10} \sin^2 \theta = 20 \log_{10} \sin \theta$$



## EPFL Example : Hertzian dipole

Elementary Dipole Radiation Pattern



$$(\theta_{\max}) = 90^\circ$$

$$D_p(\theta_{\max}) = 1$$

$$\sin^2 \theta = 0 \text{ for } \theta = 0, 180^\circ$$

$$\theta_{BW} = 180^\circ$$

$$\sin^2 \theta = 0.5 \text{ for } \theta = 45^\circ, 135^\circ$$

$$\theta_{HPBW} = 90^\circ$$

## EPFL Example : Hertzian dipole

$$P_{rad} = 2\pi \int_0^\pi d\theta r^2 \sin \theta p(r, \theta, \varphi) = \frac{2\pi}{3} Z_c I^2 (\Delta l / \lambda)^2$$

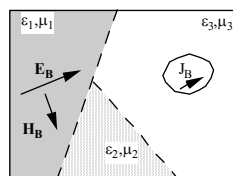
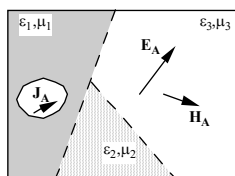
$$D(\theta, \varphi) = \frac{3}{2} \sin^2 \theta \quad D_{max} = 1.5 = 1.76 \text{ dB}$$

$$R_{rad} = \frac{2\pi}{3} Z_c (\Delta l / \lambda)^2 \approx 800 (\Delta l / \lambda)^2 [\Omega]$$

For a given length, the radiation resistance is proportional to the square of the frequency. For instance, a conductor of one meter will have a radiation resistance of 0.0088  $\Omega$  at 1 MHz, 0.88  $\Omega$  at 10 MHz, and 88  $\Omega$  at 100 MHz



EPFL **Classic reciprocity theorem applied to the antenna case**



$$\mathbf{X} = \mathbf{E}_A \times \mathbf{H}_B - \mathbf{E}_B \times \mathbf{H}_A$$

$$\nabla \cdot \mathbf{X} = \mathbf{J}_A \cdot \mathbf{E}_B - \mathbf{J}_B \cdot \mathbf{E}_A$$

$$\int_s \mathbf{ds} \cdot (\mathbf{E}_A \times \mathbf{H}_B - \mathbf{E}_B \times \mathbf{H}_A) = \int_v dv (\mathbf{J}_A \cdot \mathbf{E}_B - \mathbf{J}_B \cdot \mathbf{E}_A)$$

Thanks to the divergence theorem!!

$V$ : arbitrary volume,  $s$ : surface around  $V$

**EPFL Particular case:  $v \rightarrow$  infinity**

$$\underbrace{\int_{v_A} ds (\mathbf{E}_A \times \mathbf{H}_B - \mathbf{E}_B \times \mathbf{H}_A)}_{=0} = \int_{v_B} dv (\mathbf{J}_A \cdot \mathbf{E}_B - \mathbf{J}_B \cdot \mathbf{E}_A)$$

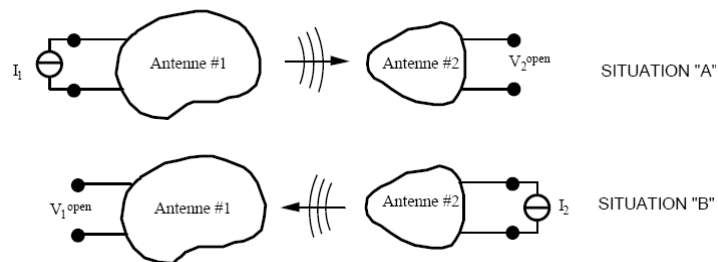
As we are in the far field and

$$\mathbf{H} = \frac{1}{Z_c} \hat{\mathbf{r}} \times \mathbf{E}$$

$$\mathbf{E} = Z_c \hat{\mathbf{r}} \times \mathbf{H}$$

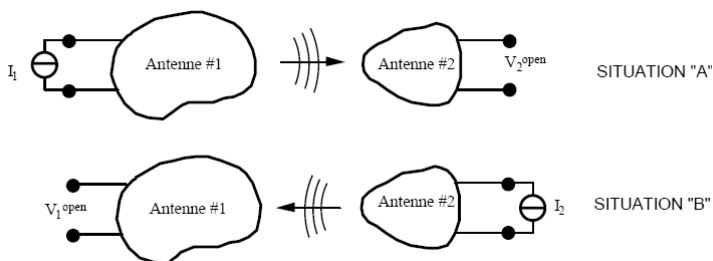
$$\int_{v_A} dv (\mathbf{J}_A \cdot \mathbf{E}_B) = \int_{v_B} dv (\mathbf{J}_B \cdot \mathbf{E}_A)$$

**EPFL Reciprocity in circuit terms**



- "A" : #1 is the transmitter, connected to an ideal current source  $I_1$ .  
#2 is the receiver, open-circuited and having a voltage  $V_2^{open}$ .
- "B" : #2 is the transmitter, connected to an ideal current source  $I_2$ .  
#1 is the receiver, open-circuited and having a voltage  $V_1^{open}$ .

### Reciprocity in circuit terms

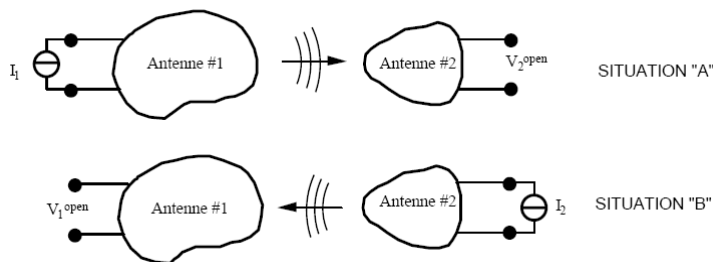


$$\int_{v_A} dv(\mathbf{J}_A \cdot \mathbf{E}_B) = \int_{v_B} dv(\mathbf{J}_B \cdot \mathbf{E}_A)$$

Sources are external to the antennas, thus  
 $dv = s dl$ ,  $\mathbf{J}_A = \mathbf{l}(I_1/s)$  and  $\mathbf{J}_A dv = I_1 d\mathbf{l}$

$$\int_{v_A} dv(\mathbf{J}_A \cdot \mathbf{E}_B) = I_1 \int_{L_A} d\mathbf{l}(\mathbf{J}_B \cdot \mathbf{E}_A) \quad \text{Thus} \quad I_1 V_1^{open}(I_2) = I_2 V_2^{open}(I_1)$$

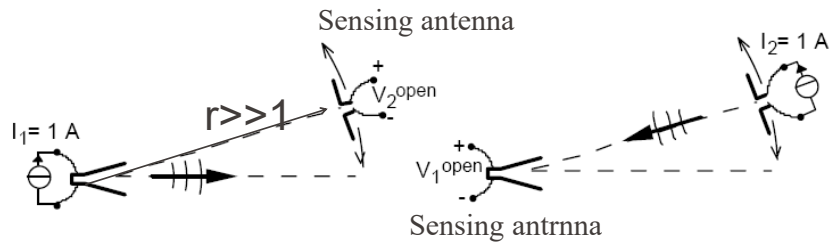
### Reciprocity in circuit terms



Thus  $I_1 V_1^{open}(I_2) = I_2 V_2^{open}(I_1)$

and  $V_1^{open}(I_2 = 1A) = V_2^{open}(I_1 = 1A)$

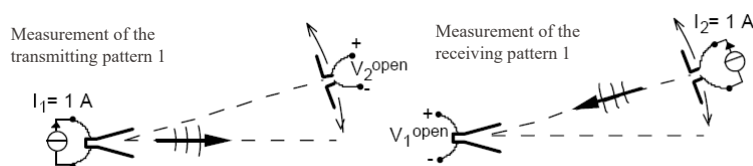
## EPFL Transmitting and receiving patterns



The antenna pattern of the antenna in receiving mode is identical to the pattern in transmitting mode. This is a direct consequence of

$$I_1 V_1^{open}(I_2) = I_2 V_2^{open}(I_1)$$

## EPFL Equivalent circuit of a transceiving system

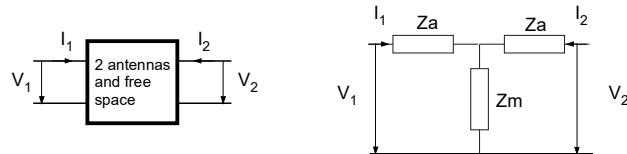


$$\begin{aligned} V_1 &= z_{11}I_1 + z_{12}I_2 \\ V_2 &= z_{21}I_1 + z_{22}I_2 \\ z_{12} &= \left[ \frac{V_1}{I_2} \right]_{I_1=0} = \frac{V_1^{open}}{I_2} \quad \text{and} \quad z_{21} = \frac{V_2^{open}}{I_1} \end{aligned}$$

$$\text{Reciprocity : } z_{12} = z_{21}$$

"Privileged directions are the same at transmission and reception"

### Equivalent circuit of a transceiving system

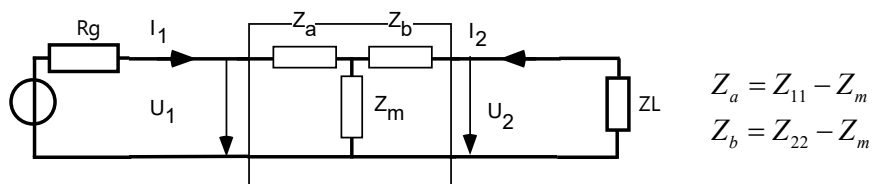


$$\begin{bmatrix} Z_{11} & Z_{12} \\ Z_{12} & Z_{22} \end{bmatrix} \quad \begin{aligned} Z_a &= Z_{11} - Z_{12} \\ Z_b &= Z_{22} - Z_{12} \\ Z_m &= Z_{12} \end{aligned}$$

$Z_{11} = Z_{21}$ , as

$$Z_{12} = \frac{V_1}{I_2} \Big|_{I_1=0} = \frac{V_1^{open}}{I_2} \quad Z_{21} = \frac{V_2}{I_1} \Big|_{I_2=0} = \frac{V_2^{open}}{I_1}$$

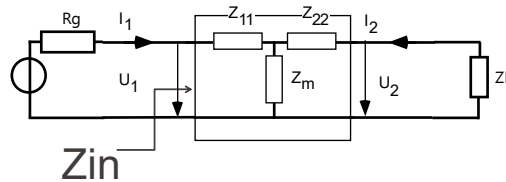
### Equivalent circuit of a transceiving system



$$\begin{aligned} Z_a &= Z_{11} - Z_m \\ Z_b &= Z_{22} - Z_m \end{aligned}$$

$$Z_{in 1} = Z_{11} - Z_m + Z_m \frac{Z_{22} - Z_m + Z_L}{Z_{22} + Z_L}$$

## EPFL Equivalent circuit of a transceiving system



Consider that antenna #1 is transmitting, and is excited by a voltage generator  $U$   
 Having an internal impedance  $Z_g$ , Antenna #2 is the receiving antenna loaded by  $Z_L$

$$Z_{in,1} = Z_{11} - Z_m + Z_m \frac{Z_{22} - Z_m + Z_L}{Z_{22} + Z_L}$$

Annoying: the second antenna has an influence on the first

## EPFL Unilateral hypothesis

We suppose that the receiving antenna has no influence on the fields transmitted by antenna 1

Thus : The term  $Z_{12}I_2$  is neglected

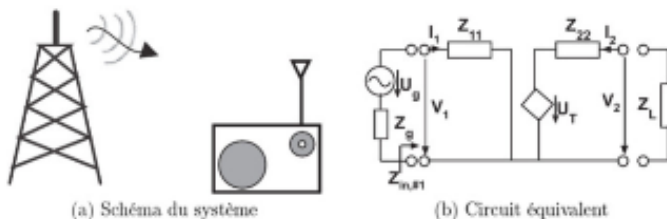
$$\begin{array}{l} V_1 = z_{11}I_1 + z_{21}I_2 \\ V_2 = z_{21}I_1 + z_{22}I_2 \end{array} \text{ becomes } \begin{array}{l} V_1 = z_{11}I_1 \\ V_2 = z_{21}I_1 + z_{22}I_2 = U_T + z_{22}I_2 \end{array}$$

Where  $U_T$  is a dependent voltage generator

In this case,  $Z_{in,\#1} = Z_{11}$

## EPFL Unilateral hypothesis

If the receiving antenna has no influence on the transmitting antenna

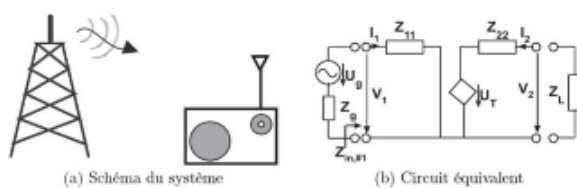


$$V_1 = z_{11}I_1$$

$$V_2 = z_{21}I_1 + z_{22}I_2 = U_T + z_{22}I_2$$

In this case,  $Z_{in,\#1} = Z_{11}$

## EPFL Power reciprocity, under the unilateral hypothesis



Power radiated by antenna 1:

$$P_{rad-1} = \frac{|U|^2}{4 \operatorname{Re}[Z_{11}]}$$

Power available at the receiver of antenna 2:

$$P_{av-r2} = \frac{|z_m I_1|^2}{4 \operatorname{Re}[Z_2]}$$

In the case of the unilateral hypothesis, there is a clear difference between transmission and reception

## EPFL Power reciprocity, under the unilateral hypothesis

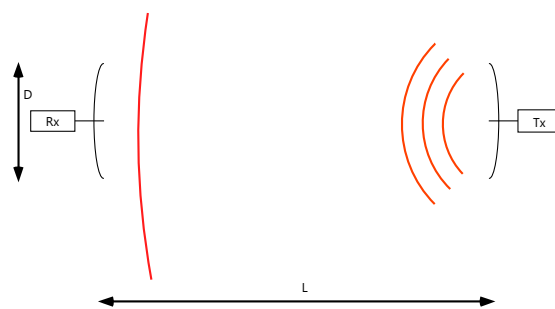
$$P_{rad-1} = \frac{|U|^2}{4\text{Re}[Z_{11}]} \quad \text{Power radiated by antenna 1}$$

$$P_{av-r2} = \frac{|z_m I_1|^2}{4\text{Re}[Z_2]} \quad \text{Power available at the charge of antenna 2}$$

$$|U| = 2\text{Re}[z_{11}]|I| \quad \text{By definition, thus}$$

$$\frac{P_{av-r2}}{P_{rad-1}} = \frac{|z_m|^2}{4\text{Re}[z_{11}]\text{Re}[z_{22}]} \quad \text{and} \quad \frac{P_{av-r2}}{P_{rad-1}} = \frac{P_{av-r1}}{P_{rad-2}}$$

## EPFL Antenna effective aperture



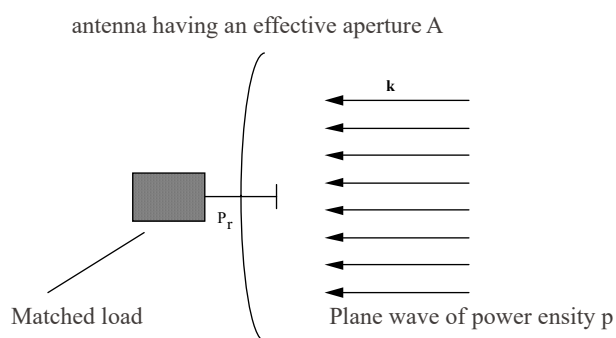
When  $L \gg D$ , the spherical wave transmitted can be seen as a plane wave at the receiving antenna. This wave has a power density  $p$

## EPFL Antenna effective aperture

The maximum available received power ( $P_{av-r}$ ) is the power supplied by the receiving antenna when connected to a load impedance equal to the complex conjugate value of the antenna's internal impedance ( $Z_{load} = Z_{in}^*$ , conjugate matching). The effective aperture ( $A_e$ ) of an antenna at reception is then defined as the ratio between the maximum available reception power and the incident power density.

$$A_e(\theta, \varphi) = \frac{P_{av-r}}{p} \quad [m^2]$$

## EPFL Antenna effective aperture



$$P_r = p A = \frac{E_0^2}{Z_c} A$$

Maximal received power

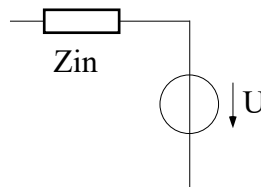
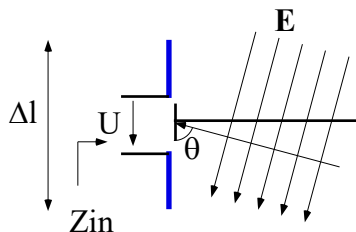
$$P_r(\theta, \varphi) = A_e(\theta, \varphi) p$$

with

Real received power

$$A_e(\theta, \varphi) < A$$

## EPFL Example Hertzian dipole



Power density

$$p = \frac{|E|^2}{Z_c}$$

$$U = |E| \Delta l \sin \theta = \sqrt{Z_c p} \Delta l \sin \theta$$

$$P_{av-r} = \frac{U^2}{4 \operatorname{Re}[Z_{in}]}$$

$$A_e(\theta) = \frac{P_{av-r}}{p} = \frac{U^2}{p} \frac{1}{4 \operatorname{Re}[Z_{in}]}$$

We suppose

$$\operatorname{Re}[Z_{in}] = R_{rad} = \frac{2\pi}{3} Z_c \left(\frac{\Delta l}{\lambda}\right)^2$$

We get

$$A_e = \frac{3\lambda^2 \sin^2 \theta}{8\pi}$$

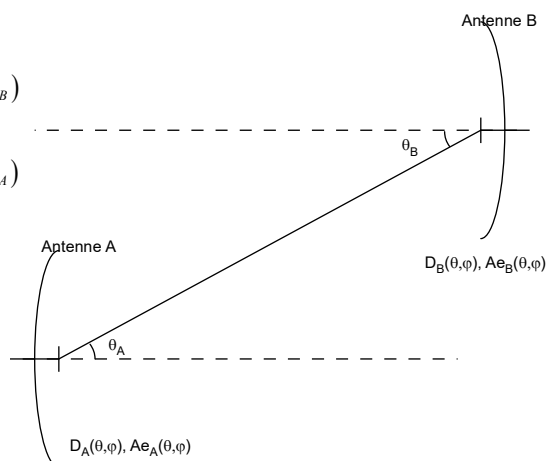
## EPFL Link between directivity and effective aperture

$$\left. \frac{P_r}{P_{\text{fourni}}} \right|_{A \rightarrow B} = \frac{1}{4\pi L^2} d_A(\theta_A, \varphi_A) A_{eB}(\theta_B, \varphi_B)$$

$$\left. \frac{P_r}{P_{\text{fourni}}} \right|_{B \rightarrow A} = \frac{1}{4\pi L^2} d_B(\theta_B, \varphi_B) A_{eA}(\theta_A, \varphi_A)$$

$$\frac{d_A(\theta_A, \varphi_A)}{A_{eA}(\theta_A, \varphi_A)} = \frac{d_B(\theta_B, \varphi_B)}{A_{eB}(\theta_B, \varphi_B)}$$

$$d(\theta, \varphi) = \frac{4\pi}{\lambda^2} A_e(\theta, \varphi)$$



Using the known values for the Hertzian dipole

## EPFL Friis' formula for ideal systems

$$P_{av-rA} = P_{radB} d_A d_B \left( \frac{\lambda}{4\pi r} \right)^2$$

EPFL

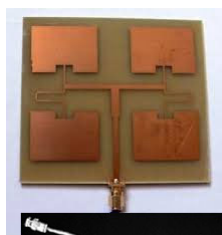


**Real antennas: what can go wrong ?**

## What are the difference between ideal antennas and reality ?

- Losses
- Impedance mismatch
- Polarization mismatch

## Losses: conductive or dielectric losses in the materials



## EPFL Real antenna: the efficiency

$$Z_{in} = R_{loss} + R_{rad} + jX_{ant}$$

Radiated power

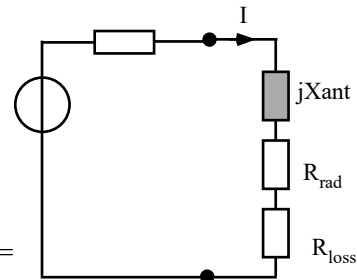
$$P_{rad} = I^2 R_{rad}$$

Available power

$$P_{av-e} = I^2 \operatorname{Re}[Z_{in}] = I^2 (R_{rad} + R_{loss})$$

Antenna efficiency

$$\eta = \frac{P_{rad}}{P_{av-e}} = \frac{R_{rad}}{R_{rad} + R_{loss}} \leq 1$$



## EPFL The antenan gain

The gain is defined as

$$G(\theta, \varphi) = \frac{4\pi U(\theta, \varphi)}{P_{av-e}}$$

$$D(\theta, \varphi) = \frac{4\pi U(\theta, \varphi)}{P_{rad}}$$

Thus 
$$\frac{G(\theta, \varphi)}{D(\theta, \varphi)} = \eta \leq 1$$

## EPFL Typical antenna efficiencies

- Around 100% for a horn, a wire antenna or a parabola
- 10-60% for a smartphone antenna
- around 1% for a radio antenna on a car

## EPFL Example: The Hertzian dipole

Radiated power

$$P_{rad} = 2\pi \int_0^\pi d\theta r^2 \sin\theta p(r, \theta, \phi) = \frac{2\pi}{3} Z_c I^2 (\Delta l / \lambda)^2$$

Radiation resistance

$$R_{rad} = \frac{2\pi}{3} Z_c (\Delta l / \lambda)^2 \approx 800 (\Delta l / \lambda)^2 [\Omega]$$

Ohmic losses is a conductive wire of length  $\Delta l$

And radius  $a$  (skin effect)

$$R_{loss} = \frac{\Delta l}{2\pi a} \sqrt{\frac{\pi \mu f}{\sigma}}$$

efficiency

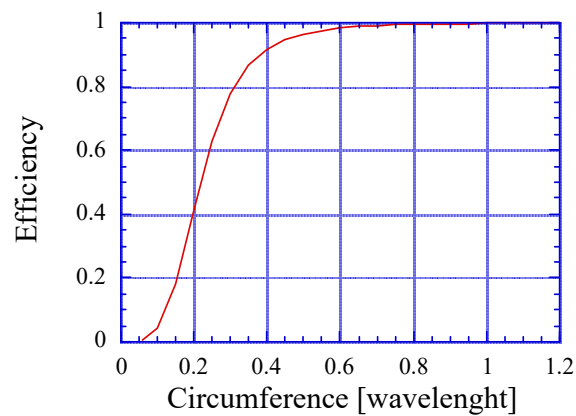
$$\eta = \frac{P_{rad}}{P_{av-e}} = \frac{R_{rad}}{R_{rad} + R_{loss}} \leq 1$$

## EPFL Example: The short dipole

$\Delta l = 1\text{m}$ ,  $\sigma = 5.7 \cdot 10^7$  (cuivre)

$f$	$R_{\text{rad}} [\Omega]$	$R_{\text{loss}} [\Omega]$	$\eta$
1 MHz	0.0088	0.1	8 %
10 MHz	0.88	0.3	73 %
100 MHz	88.	1.	98.9 %

## EPFL Efficiency



## EPFL Impedance mismatch

$$P_{av-e} = I^2 \operatorname{Re}[Z_{in}]$$

$$P_{rad} = P_{av-e} \quad \text{Without losses}$$

$$P_{rad} = \eta P_{av-e} \quad \text{With losses}$$

$$R_{rad} = \frac{P_{rad}}{I^2}$$

The power transfer to the antenna is maximum, and therefore the radiated power is maximum when the antenna is matched to the generator:

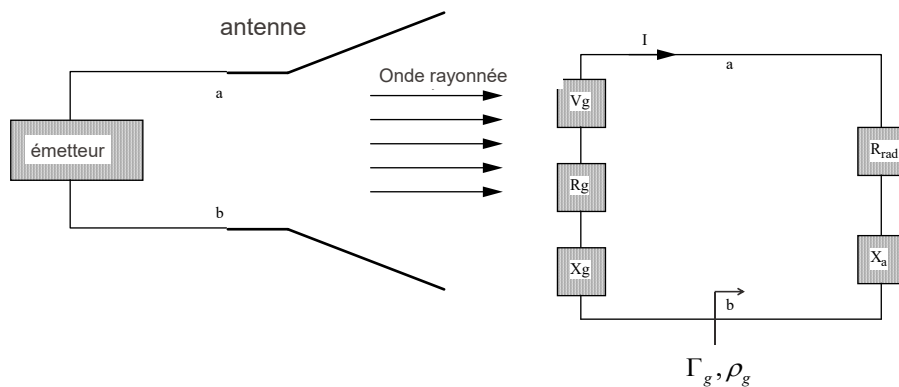
$$Z_{in} = Z_g^*$$

In general, the impedance of the generator is real:  $R_g$

In this case, the max power is

$$P_{av-e} = \frac{1}{2} V_g I_g^* = \frac{|V_g|^2}{4R_g}$$

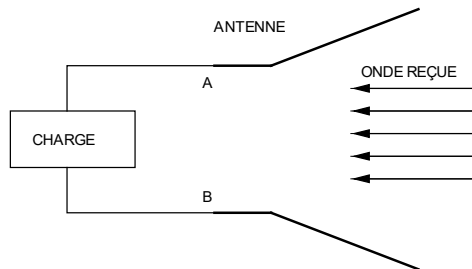
## EPFL Mismatch



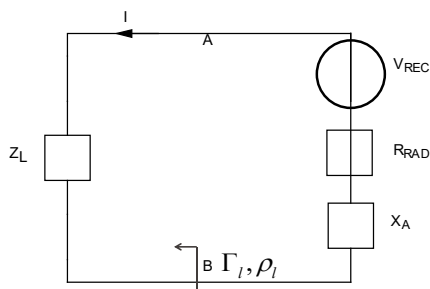
The power delivered to the antenna is not always the available power  $P_{av-e}$

$$\rho_g = \frac{Z_{in} - Z_g}{Z_{in} + Z_g}$$

## EPFL Mismatch



$$\rho_l = \frac{Z_l - Z_{in}}{Z_l + Z_{in}}$$



The power delivered to the load is not always the power available at the antenna

$$P_{r_{load}} = \eta P_{av-r}$$

Antennas

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## EPFL Mismatch

If there is a mismatch between the antenna and the generator or the load (reception), the power supplied is given by

$$P_f = P_{av-e} (1 - \rho_g^2) \quad \rho_g = \frac{Z_{in} - Z_g}{Z_{in} + Z_g}$$

And the power supplied by the antenna to load by

$$P_{load} = P_{av-r} (1 - \rho_l^2) \quad \rho_l = \frac{Z_l - Z_{in}}{Z_l + Z_{in}}$$

where  $\rho_g$  is the reflection coefficient between the generator and the antenna and  $\rho_l$  the reflection coefficient between the antenna and the load

Antennas

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## EPFL Friis's formula

Ideal case 
$$P_{r_{load}} = P_{av-e} D_r D_{tr} \left( \frac{\lambda}{4\pi R} \right)^2$$

Mismatched antennas: 
$$P_{r_{load}} = P_{av-e} D_r D_{tr} (1 - \rho_r^2)(1 - \rho_{tr}^2) \left( \frac{\lambda}{4\pi R} \right)^2$$

With losses 
$$P_{r_{load}} = P_{av-e} D_r D_{tr} \eta_r \eta_{tr} (1 - \rho_r^2)(1 - \rho_{tr}^2) \left( \frac{\lambda}{4\pi R} \right)^2$$
$$= P_{av-e} G_r G_{tr} (1 - \rho_r^2)(1 - \rho_{tr}^2) \left( \frac{\lambda}{4\pi R} \right)^2$$

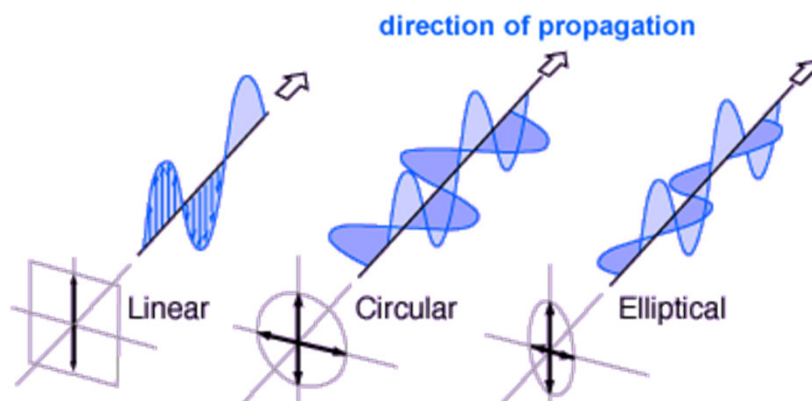
## EPFL Real antennas

Transmitting 
$$P_{rad} = \eta_1 P_f = \eta_1 (1 - |\rho_g|^2) P_{av-e}$$

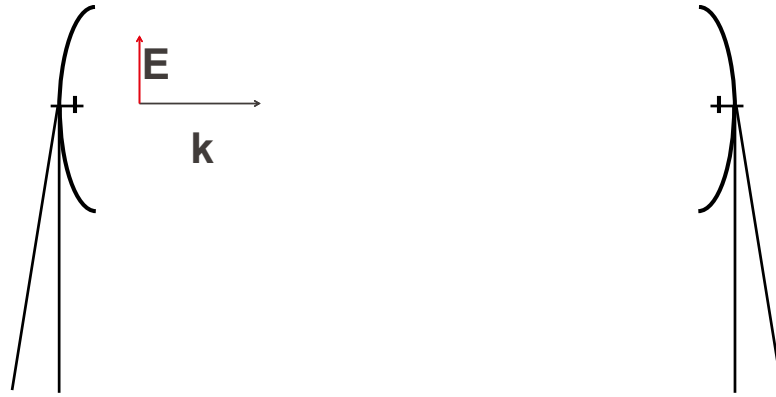
Receiving 
$$P_{r_{load}} = \eta_1 P_r = \eta_1 (1 - |\rho_g|^2) P_{av-e}$$

# Polarization

## EPFL Polarisation



## EPFL Polarisation



For a monochromatic wave, we have:

$$\mathbf{E}(t) = \sqrt{2} \left[ \hat{\mathbf{x}} E_{0x} \cos(\omega t + \varphi_x) + \hat{\mathbf{y}} E_{0y} \cos(\omega t + \varphi_y) + \hat{\mathbf{z}} E_{0z} \cos(\omega t + \varphi_z) \right]$$

## EPFL Polarization

$$\mathbf{E}(t) = \sqrt{2} \left[ \hat{\mathbf{x}} E_{0x} \cos(\omega t + \varphi_x) + \hat{\mathbf{y}} E_{0y} \cos(\omega t + \varphi_y) + \hat{\mathbf{z}} E_{0z} \cos(\omega t + \varphi_z) \right]$$

$$\mathbf{E}(t) = \mathbf{E}(0) \cos(\omega t) + \mathbf{E}(T/4) \sin(\omega t)$$

$$\mathbf{E}(0) = \sqrt{2} \left[ \hat{\mathbf{x}} E_{0x} \cos(\varphi_x) + \hat{\mathbf{y}} E_{0y} \cos(\varphi_y) + \hat{\mathbf{z}} E_{0z} \cos(\varphi_z) \right]$$

$$\mathbf{E}(T/4) = -\sqrt{2} \left[ \hat{\mathbf{x}} E_{0x} \sin(\varphi_x) + \hat{\mathbf{y}} E_{0y} \sin(\varphi_y) + \hat{\mathbf{z}} E_{0z} \sin(\varphi_z) \right]$$

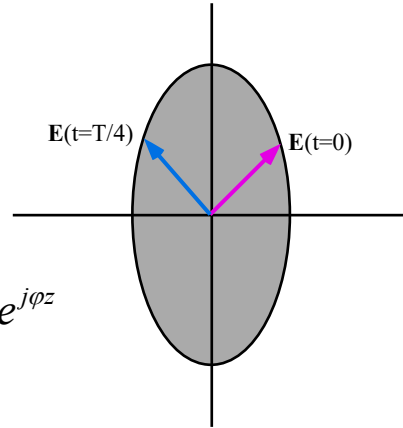
## EPFL Polarization

In terms of phasors:

$$\mathbf{E}(0) = \text{Re}[\mathbf{E}]$$

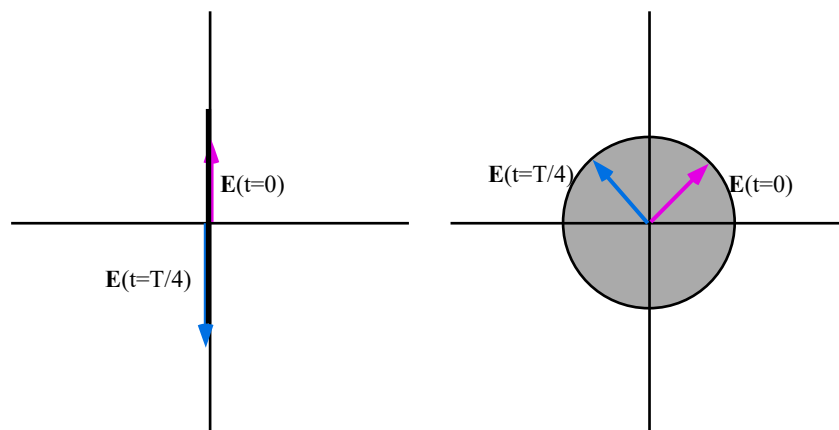
$$\mathbf{E}(T/4) = -\text{Im}[\mathbf{E}]$$

$$\mathbf{E} = \hat{\mathbf{x}}E_{0x}e^{j\varphi_x} + \hat{\mathbf{y}}E_{0y}e^{j\varphi_y} + \hat{\mathbf{z}}E_{0z}e^{j\varphi_z}$$

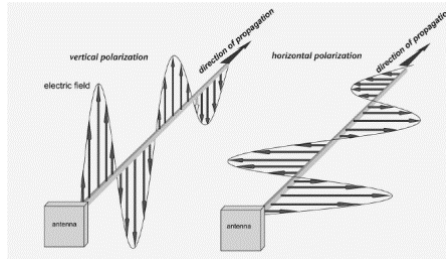
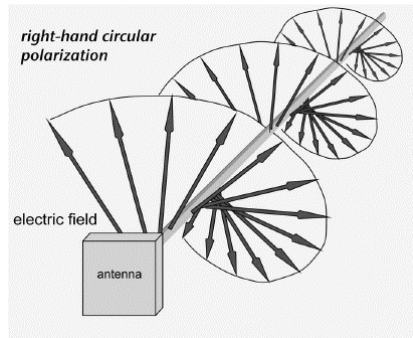


$$\mathbf{E}(t) = E(0)\cos(\omega t) + E(T/4)\sin(\omega t)$$

## EPFL Special cases



## EPFL Special cases



Antennas  
<https://www.electronicsforu.com/resources/learn-electronics/antenna-polarisation>

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## EPFL Special cases

Linear  
polarization:

$$\mathbf{E}(0) \times \mathbf{E}(T/4) = 0$$

$$\langle E^2 \rangle \neq 0$$

Circular  
polarization:

$$\mathbf{E}(0) \cdot \mathbf{E}(T/4) = 0$$

$$|\mathbf{E}(0)| = |\mathbf{E}(T/4)| \neq 0$$

$$\mathbf{E} \cdot \mathbf{E} = 0$$

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## EPFL Friis' formula

$$\frac{P_{r_{load}}}{P_{av-e}} = G_e G_r \left( \frac{\lambda}{4\pi r} \right)^2 |\mathbf{e}_e \cdot \mathbf{e}_r^*|^2$$

Where  $\mathbf{e}_e$  ( $\mathbf{e}_r$ ) is the polarization vector of the transmitting (receiving) antenna. This vector is a unit vector that represents the polarization of the wave emitted by this antenna.

$|\mathbf{e}_e \cdot \mathbf{e}_r^*|^2$  Is the depolarization factor

## EPFL Friis' formula for a real transmission

In free space 
$$P_{r_{load}} = P_{av-e} G_r G_{tr} (1 - \rho_g^2)(1 - \rho_t^2) \left( \frac{\lambda}{4\pi R} \right)^2 |\mathbf{e}_e \cdot \mathbf{e}_r^*|^2$$

Depolarization factor 
$$\chi_{pol} = |\mathbf{e}_e \cdot \mathbf{e}_r^*|^2$$

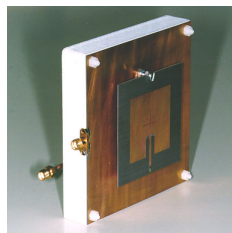
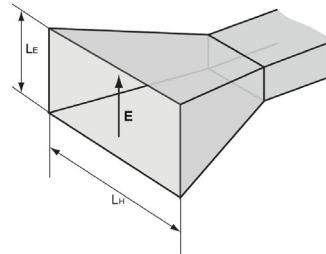
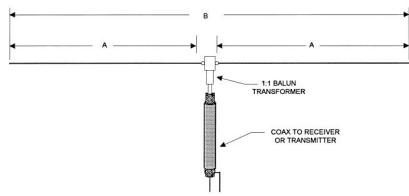
$\rho_t$ : reflection coefficient at the receiving antenna

$\rho_g$ : reflection coefficient at the transmitting antenna

$\mathbf{e}_r$ : polarization vector of the receiving antenna

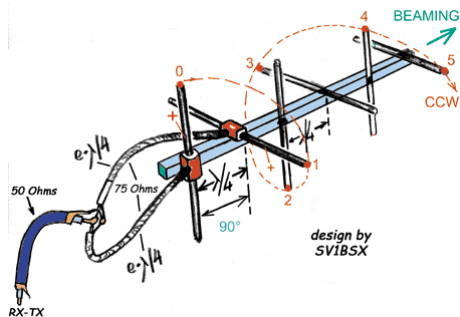
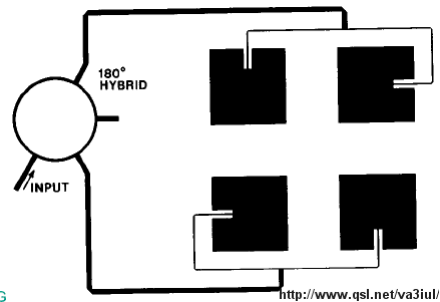
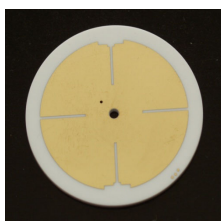
$\mathbf{e}_e$ : polarization vector of the transmitting antenna

# EPFL examples: linear polarization



Antennas

# EPFL examples: circular polarization



Antennas